

Annual quantitative 'top 5 execution brokers' disclosure

Firm name: Sloane Robinson LLP

Disclosure Period: 01/01/2018 to 31/12/2018

Disclosure type: Professional Clients

This disclosure is being made pursuant to Article 65(6) of the MiFID II Delegated Organisational Regulation, which requires firms to disclose, for each class of financial instruments traded on behalf of clients during the period, the top 5 brokers with whom orders were placed on behalf of clients.

Please note that disclosures are only made in respect of transactions for clients in relation to which Sloane Robinson LLP provides MiFID services and so are not representative of transactions undertaken on behalf of the firm's clients as a whole.

Please also note that execution brokers for various transaction types will be determined by the contractual and trading arrangements each client has put in place and Sloane Robinson's discretion and choice of broker may be limited in various circumstances.

Class of Instrument	Equities - Shares and Depositary Receipts				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UBS AG London Branch (LEI: BFM8T61CT2L1QCCEMIK50)	22%	20%	N/A	N/A	34%
Morgan Stanley & Co International plc (LEI: 4PQUHN3JPFGFNF3BB653)	18%	31%	N/A	N/A	0%
Goldman Sachs International (LEI: W22LROWP2IHZNBB6K528)	17%	12%	N/A	N/A	0%
Macquarie Bank Limited (LEI: 4ZHCHI4KYZG2WVRT8631)	12%	9%	N/A	N/A	0%
CLSA (UK) Limited (LEI: 213800VZMAGVIU2IJA72)	12%	10%	N/A	N/A	0%

Class of Instrument	Exchange Traded Products				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UBS AG London Branch (LEI: BFM8T61CT2L1QCEMIK50)	48%	87%	N/A	N/A	100%
Morgan Stanley & Co International plc (LEI: 4PQUHN3JPFGFNF3BB653)	32%	9%	N/A	N/A	N/A
Credit Suisse Securities (Europe) Limited (LEI: DL6FFRRLF74S01HE2M14)	20%	4%	N/A	N/A	N/A
N/A					
N/A					

Class of Instrument	Equity Derivatives - Options and Futures Admitted to Trading on a Trading Venue				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Morgan Stanley & Co International plc (LEI: 4PQUHN3JPFGFNF3BB653)	87%	93%	N/A	N/A	N/A
Goldman Sachs International (LEI: W22LROWP2IHZNBB6K528)	13%	7%	N/A	N/A	N/A
N/A					
N/A					
N/A					