

Annual quantitative 'top 5 execution venues' disclosure

Firm name: Sloane Robinson LLP

Disclosure Period: 01/01/2017 to 31/12/2017

Disclosure type: Professional Clients

This disclosure is being made pursuant to Article 3(1) of RTS 28 in respect of MiFID, which requires firms to disclose, for each class of financial instruments traded during the period, the top 5 venues or counterparties on/with which client orders were executed.

Please note that disclosures are only made in respect of transactions for clients in relation to which Sloane Robinson LLP provides MiFID services and so are not representative of transactions undertaken on behalf of the firm's clients as a whole.

Please also note that execution venues for various transaction types will be determined by the contractual and trading arrangements each client has put in place (including ISDA and other documentation) and Sloane Robinson's discretion and choice of venue or counterparty may be limited in various circumstances. In some cases only a single counterparty may be appointed/authorised.

Class of Instrument	Equity Derivatives - Swaps and Other Equity Derivatives				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Societe Generale London Branch (LEI: 0IKLU6X1B10WK7X42C15)	70%	74%	N/A	N/A	N/A
Goldman Sachs International (LEI: W22LROWP2IHZNBB6K528)	17%	16%	N/A	N/A	N/A
Morgan Stanley & Co International plc (LEI: 4PQUHN3JPFGFNF3BB653)	13%	10%	N/A	N/A	N/A
N/A					
N/A					

Class of Instrument	Equity Derivatives - Options and Futures Admitted to Trading on a Trading Venue				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Morgan Stanley & Co International plc (LEI: 4PQUHN3JPF3BB653)	100%	100%	N/A	N/A	N/A
N/A					
N/A					
N/A					
N/A					

Class of Instrument	Currency Derivatives - Swaps, Forwards and Other Currency Derivatives				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UBS AG, London Branch (LEI: BFM8T61CT2L1QCCEMIK50)	80%	57%	N/A	N/A	100%
Societe Generale (LEI: OIKLU6X1B10WK7X42C15)	17%	23%	N/A	N/A	0%
Kredietbank SA Luxembourg (LEI: KHCL65TP05J1HUW2D560)	3%	20%	N/A	N/A	0%
N/A					
N/A					

Class of Instrument	Debt Instruments - Bonds				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Morgan Stanley & Co International plc (LEI: 4PQUHN3JPFGFNF3BB653)	100%	100%	N/A	N/A	0%
N/A					
N/A					
N/A					
N/A					

Class of Instrument	Debt Instruments - Money Market Instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Morgan Stanley & Co International plc (LEI: 4PQUHN3JPFGFNF3BB653)	100%	100%	N/A	N/A	0%
N/A					
N/A					
N/A					
N/A					

Class of Instrument	Other Instruments - Unlisted Funds				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UBS AG London Branch (LEI: BFM8T61CT2L1QCEMIK50)	100%	100%	N/A	N/A	100%
N/A					
N/A					
N/A					
N/A					